



Audit, Governance and Standards Committee

Thu 28 Jan
2016
7.00 pm

Committee Room 2
Town Hall
Redditch

REDDITCH BOROUGH COUNCIL

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If you have any queries on this Agenda or any of the decisions taken or wish to exercise any of the above rights of access to information, please contact:

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Audit, Governance and Standards Committee

Thursday, 28th January, 2016
7.00 pm

Committee Room 2, Town Hall

Agenda

Membership:

Cllrs:	David Thain (Chair) Jane Potter (Vice-Chair) Natalie Brookes Michael Chalk John Fisher	Andrew Fry Gareth Prosser Rachael Smith Pat Witherspoon
Independent Member:	Dave Jones (non-voting co-opted – for Audit and Governance)	
Feckenham Parish Council Representative:	Alan Smith (non-voting co-opted – for Standards)	

1. Apologies and named Substitutes	To receive the apologies for absence and details of any Councillor nominated to attend the meeting in place of a member of the Committee.
2. Declarations of Interest	To invite Councillors to declare any Disclosable Pecuniary Interests and/or Other Disclosable Interests they may have in items on the agenda, and to confirm the nature of those interests.
3. Minutes (Pages 1 - 10)	To confirm as a correct record the minutes of the meeting of the Audit, Governance and Standards Committee held on 24th September 2015. (Minutes attached)
4. Monitoring Officer's Report - Standards Regime (Pages 11 - 14) Head of Legal, Equalities and Democratic Services	To receive a report from the Monitoring Officer on any matters of relevance to the Committee. (Report attached) (No Direct Ward Relevance)

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<p>5. Feckenham Parish Council Representative's Report - Standards Regime</p>	<p>To receive a report from the Feckenham Parish Council Representative on any matters of relevance to the Committee.</p> <p>(Oral report)</p> <p>(Astwood Bank & Feckenham Ward)</p>
<p>6. Grant Thornton - Progress Update</p> <p>(Pages 15 - 36)</p>	<p>To update Members on Grant Thornton's progress in delivering their responsibilities as the Council's external auditors.</p> <p>(Report attached)</p> <p>(No Direct Ward Relevance)</p>
<p>7. Grant Thornton - Certification Work Report 2014/15</p> <p>(Pages 37 - 44)</p>	<p>To present Members with the Grant Certification Letter for 2014/15 from the Council's external auditors Grant Thornton.</p> <p>(Report attached)</p> <p>(No Direct Ward Relevance)</p>
<p>8. Grant Thornton - Annual Audit Letter 2014/15 and Action Plan</p> <p>(Pages 45 - 58)</p>	<p>To present to Members the Grant Thornton Annual Audit Letter which summarises the key findings arising from the work carried out at the Council for the year ended 31st March 2015.</p> <p>(Report attached)</p> <p>(No Direct Ward Relevance)</p>
<p>9. Single Fraud Investigation Service - Presentation</p> <p>Head of Customer Access and Financial Support</p>	<p>To receive a presentation on the Single Fraud Investigation Service.</p> <p>(Presentation)</p> <p>(No Direct Ward Relevance)</p>
<p>10. Treasury Management Strategy Statement and Investment Strategy 2016/17 to 2018/19</p> <p>(Pages 59 - 78)</p> <p>Executive Director, Finance and Resources</p>	<p>To approve the strategy statement for treasury management and investment.</p> <p>(Report attached)</p> <p>(No Direct Ward Relevance)</p>

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<p>11. Corporate Dashboard of Measures - Presentation</p> <p>Head of Business Transformation and Organisational Development</p>	<p>To receive a presentation on the Corporate Dashboard of Measures.</p> <p>(Presentation)</p> <p>(No Direct Ward Relevance)</p>
<p>12. Internal Audit - Progress Report</p> <p>(Pages 79 - 98)</p>	<p>To receive a progress report of internal audit work for 2015/16.</p> <p>(Report attached)</p> <p>(No Direct Ward Relevance)</p>
<p>13. Internal Audit - Draft Audit Plan 2016/17</p> <p>(Pages 99 - 110)</p>	<p>To present the Council's Draft Internal Audit Operational Plan for 2016/17 and confirm the key performance indicators for the Worcestershire Internal Audit Shared Service for 2016/17.</p> <p>(Report attached)</p> <p>(No Direct Ward Relevance)</p>
<p>14. Debt Recovery Update - Quarters 1 and 2 2015/16</p> <p>(Pages 111 - 114)</p> <p>Head of Customer Access and Financial Support</p>	<p>To advise Members on the collection and recovery processes of the Council's Income Team and outstanding debt levels.</p> <p>(Report attached)</p> <p>(No Direct Ward Relevance)</p>
<p>15. Committee Action List and Work Programme</p> <p>(Pages 115 - 120)</p> <p>Chief Executive</p>	<p>To consider the Audit, Governance and Standards Committee's Action List and Work Programme.</p> <p>(Action List and Work Programme attached)</p> <p>(No Direct Ward Relevance)</p>
<p>16. Portfolio Holder Update - Quarterly Budget Monitoring</p>	<p>To receive an oral update from Councillor John Fisher, Portfolio Holder for Corporate Management, on the latest Finance Monitoring Report referred to the Executive Committee.</p> <p>(Oral report)</p> <p>(No Direct Ward Relevance)</p>

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17. Exclusion of the Public

Should it prove necessary, in the opinion of the Chief Executive, to exclude the public from the meeting at any point during the proceedings in relation to any item(s) of business on the grounds that either exempt and/or confidential information is likely to be divulged, the following resolution(s) will be moved:

"That under Section 100 I of the Local Government Act 1972, as amended, it/they involve the likely disclosure of exempt information as defined in Part I of Schedule 12A to the Act, as amended, the relevant paragraphs of that part being (*...to be specified by the Chairman at the meeting*), and that it is in the public interest to do so.", and/or

"That under Section 100 A of the Local Government Act 1972, as amended, it/they involve the likely disclosure of confidential information which would be in breach of an obligation of confidence."

The paragraphs under Part 1 of Schedule 12A to the Act are as follows:

Subject to the "public interest" test, information relating to:

- **Para 1 – any individual;**
- **Para 2 – the identity of any individual;**
- **Para 3 – financial or business affairs;**
- **Para 4 – labour relations matters;**
- **Para 5 – legal professional privilege;**
- **Para 6 – a notice, order or direction;**
- **Para 7 – the prevention, investigation or prosecution of crime**

may need to be considered as 'exempt'.